



Date: October 19, 2001
File No. 0153-83085

#8
B. D.
7-30-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Narumi, et al.

Group Art Unit: 2644

Serial No.: 09/909,197

Filed: July 19, 2001

For: "Removable Power Supply for an
Audio Device"

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Sir:

Completion of Filing Requirements

Transmitted herewith in response to the Office letter dated 08/30/01 are:

- (X) Copy of the Office letter dated 08/30/01.
- (X) Declaration or oath for this application
- () Filing fee for this application.
- (X) Assignment(s) of the invention to Audio-Technica U.S., Inc.
- () Petition for Extension of Time.
- (X) Other Express Mailing Certificate (Express Label No. EL854694571US)
- (X) Other 14 sheets of formal drawings
- (X) Other Supplemental Application Data Sheet to correct given name of Inventor Two
- (X) A check in the amount of \$170 to cover the filing fee and/or surcharge and/or assignment recording fee is enclosed.
- () A check in the amount of \$ to cover the fee for recording the assignment(s) is enclosed.
- () Charge \$ _____ to Deposit Account No. 23-0920.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper amount be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606
(312) 655-1500

WELSH & KATZ, LTD.

By:

Jon P. Christensen
Registration No. 34,137



Certificate of Express Mailing


Express Mail mailing label number EL854694571US

Date of Deposit: October 19, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee. Service under 37 CFR 1.10 is on the date indicated above and addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231. The person mailing this paper is:

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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 2023
 www.uspto.gov

#7

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,197	07/19/2001	Tomoyuki Narumi	0153-83085

CONFIRMATION NO. 9733

FORMALITIES LETTER



OC000000006495677

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 Jon P. Christensen
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 Chicago, IL 60606

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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>	Complete if Known	
	Application Number	09/909,197
	Filing Date	July 19, 2001
	First Named Inventor	Narumi, et al
	Examiner Name	
Group/Art Unit	2644	
Attorney Docket Number	0153-83085	
TOTAL AMOUNT OF PAYMENT (\$) 170.00		

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 23-0920 Deposit Account Name: WELSH & KATZ, LTD. <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR. 1.16 and 1.17		3. ADDITIONAL FEES	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit <input type="checkbox"/> Money <input type="checkbox"/> Other Card Order			
FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1) (\$)			
2. EXTRA CLAIM FEES			
Total Claims	-20** =	Extra Claims	Fee from below
Independent Claims	-3** =		
Multiple Dependent Claims			
**or number previously paid, if greater; For Reissues, see below			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 80	202 40	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 80	209 40	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)			
**or number previously paid, if greater; For Reissues, see above			
		3. ADDITIONAL FEES	
		Large Entity Fee Code (\$)	
		Small Entity Fee Code (\$)	
		Fee Description	
		Fee Paid	
		105 130 205 65 Surcharge-late filing fee or oath 130.00	
		127 50 227 25 Surcharge-late provisional filing fee or cover sheet	
		139 130 139 130 Non-English Specification	
		147 2,520 147 2,520 For filing a request for reexamination	
		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
		115 110 215 55 Extension for reply within first month	
		116 390 216 195 Extension for reply within second month	
		117 890 217 445 Extension for reply within third month	
		118 1,390 218 695 Extension for reply within fourth month	
		128 1,890 228 945 Extension for reply within fifth month	
		119 310 219 155 Notice of Appeal	
		120 310 220 155 Filing a Brief in support of an appeal	
		121 270 221 135 Request for oral hearing	
		138 1,510 138 1,510 Petition to institute a public use proceeding	
		140 110 240 55 Petition to revive - unavoidable	
		141 1,240 241 620 Petition to revive - unintentional	
		142 1,240 242 620 Utility issue fee (or reissue)	
		143 440 243 220 Design issue fee	
		144 600 244 300 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Petitions related to provisional applications	
		126 240 126 240 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 710 279 355 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) _____	
SUBTOTAL (3) (\$)		170.00	
*Reduced by Basic Filing Fee Paid			

SUBMITTED BY		Complete (if applicable)	
Name (Print/type)	Jon P. Christensen	Registration No. (Attorney/Agent)	34,137
Signature		Telephone	312-655-1500
		Date	Oct. 19, 2001

83085



2644

2644
MP

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Narumi et al.

Serial No.: 09/909,197

Filed: 7/19/01

Examiner: N/A

For: **Removable Power Supply for an Audio Device**

Fax No. 703 / 746-7288

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CERTIFICATION OF MAILING

I hereby certify that the following Response to Notice to File Missing Parts which was filed on October 19, 2001 is being deposited as First Class Mail with the U.S. Postal Service to the Patent and Trademark Office, Washington, DC 20231 on the date shown below:

Dated: July 10, 2002

Kim Annel

In re U.S. Patent Application
Serial No. 09/909,197
Filed July 19, 2001
Tomoyuki NARUMI et al.
"Removable Power Supply for
an Audio Device"

JPC/pb

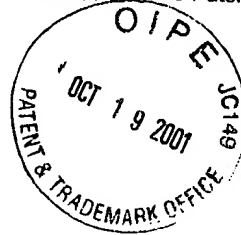
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Docket No. 0153-83085
Express Mail No. EL854694571US

Certificate of Express Mailing; Completion of Filing Requirements; Copy of Notice to File Missing Parts dated 08/30/01; Executed Declaration; Assignment and Recordation Form Cover Sheet; 14 sheets of formal drawings; Supplemental Application data sheet; Fee transmittal sheet; fee check \$170; Postcard receipt.

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